



Form PTO-1449 (modified 2/91)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102397-992313	Serial Number: 10/757,830
		Applicants: Bing Yeh et al.	
		Filing date: January 13, 2004	Group art unit: 2818

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No. Publication No.	Date	Name	Class	Sub-class	Filing date if appropriate
Alh	6,222,227 B1	04/01	Chen			
	6,262,917	07/01	Lee			
	6,525,371	02/03	J. Johnson			
	6,538,275	03/03	Sugiyama et al.			
	6,541,815	04/03	Mandelman et al.			
Alh	6,756,633	06/04	Wang et al.			

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation YES	NO
Alh	EP 0 389 721 A2	10/03/90	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Alh	HAYASHI, Fumihiko and PLUMMER, James D., "A Self-Aligned Split-Gate Flash EEPROM Cell With 3-D Pillar Structure", 1999 Symposium on VLSI Technology Digest of Technical Papers, Center for Integrated System, Stanford University, Stanford, CA 94305, USA, pages 87-88
Alh	SZE, Simon, "Physics of Semiconductor Devices", 2nd Edition, Wiley-Interscience, Basic Device Characteristics pages. 438-439
Alh	BROWN, William D. et al.; "Nonvolatile Semiconductor Memory Technology, A Comprehensive Guide to Understanding And Using NVSM Devices", IEEE Press, pp. 33-34

Examiner: <i>Andy Hung</i>	Date Considered: <i>01/07/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

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Examiner Initial	Patent No. Publication No.	Date	Name	Class	Sub-class	Filing date if appropriate
al	10/358,601	02/03	Kianian			
	10/358,623	02/03	Hu et al.			
	10/653,015	08/03	Chen et al.			
	4,757,360	07/88	Farone			
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al	6,180,458	01/01	Krautschneider, et al.			

Examiner:

Andy Nguyen

Date Considered:

01/07/05

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NON PATENT LITERATURE DOCUMENTS

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	Not yet assigned 10/757,830
Filing Date	January 13, 2004
First Named Inventor	Bing Yeh
Art Unit	Not yet assigned
Examiner Name	Not assigned yet
Attorney Docket Number	2102397-992313

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
ALH		US- 5,049,515	09/17/1991	Tzeng	
		US- 5,381,028	01/10/1995	Iwasa	
		US- 5,495,441	02/27/1996	Hong	
		US- 6,316,298	11/13/2001	Lee	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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